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Entrante for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 09/927,560 **Application Number** STATEMENT BY APPLICANT Aug-10-2001 Filing Date Sloan, Ronald E. First Named Inventor (use as many sheets as necessary) 3624 Art Unit (none assigned) **Examiner Name** 60021-375502 1 of 3 Sheet Attorney Docket Number

			U.S. PATENT	DOCUMENTS
Examiner Initials*	Cite No.	Document Number  Number -Kind Code <sup>2</sup> (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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Examiner Sig	gnature /	Jocelyn Greimel	/	Date Considered 08/16/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		•	U.S. PATENT	r Documents
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Examiner Si	gnature	/Jocelyn Greimel	./	Date Considered 08/16/2006

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		FO	REIGN PATENT DOC	UMENTS	
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (if Publication Date Name of Patentee or Applicant of Cited Document		Τ <sup>6</sup>	
		OTHER PRIOR AR	T - NON PATENT LIT	ERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T²
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	STATEMENT BY APPLICANT	Filing Date	08-10-2001	
		First Named Inventor	STEPHEN B SLUTSKY	
	(use as many sheets as necessary)	Art Unit	3624	
		Examiner Name	PATEL, JAGDISH	

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		NON PATENT LITERATURE DOC	UMENTS	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Complete if Known or form 1449A/PTO **INFORMATION DISCLOSURE** 09/927,560 Application Number STATEMENT BY APPLICANT Aug-10-2001 Filing Date Sloan, Ronald E. First Named Inventor 3624 (use as many sheets as necessary) **Art Unit** Patel, Jagdish Examiner Name 60021-375502 3 Sheet of Attorney Docket Number

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	2	5,729,700	03-1998	Melnikoff, Meyer
	3	5,806,049	09-1998	Petruzzi, Christopher R.
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Examiner Sig	gnature	/Jocelyn Grei	mel/	Date Considered 08/16/2006

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			First Named Inventor	Sloan, Ronald E.	
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			Examiner Name	Patel, Jagdish—	
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			U.S. PATENT D	OCUMENTS
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for form 1449A/PTO Complete if Known **INFORMATION DISCLOSURE** 09/927,560 **Application Number** STATEMENT BY APPLICANT Aug-10-2001 Filing Date Sloan, Ronald E. First Named Inventor (use as many sheets as necessary) 3624 **Art Unit** Patel, Jagdish Examiner Name 60021-375502 Sheet 3 of 3 Attorney Docket Number

examiner nitials*	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	App	Name of Patentee or slicant of Cited Document	
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1 4	25	JP2000163030A	06-2000	HITA		T
	, 26	JP411110447A	04-1999	Kubo		T
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	Inform	IATION <b>D</b>	ISCLOSURE	Application Number	09/927,560
	STATEM	IENT BY	APPLICANT	Filing Date	08-10-2001
				First Named Inventor	STEPHEN B SLUTSKY
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				Examiner Name	PATEL, JAGDISH
Sheet	1	of	1	Attorney Docket Number	060021-375502

			U.S. PATENT D	OCUMENTS
	T	Document Number		
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		US-5,978,778	11-02-1999	O'Shaughnessy, James P.
	1	US-5,784,696	07-02-1998	Melnikoff, Meyer

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Examiner Si	gnature	/Jocelyn Greimel/	Date Considered 08/16/200	6

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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/	T R	<b>S</b> TATEMEN	it By A	PPLICANT	Filing Date	August 10, 2001
FEB	8 2005 1	)			First Named Inventor	Stephen B. Slutsky
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1	2	US-5,918,217	06-1999	Maggioncalda et al.			
	3	US-5,819,263	10-1998	Bromley et al.			
	4	US-6,012,043	01-2000	Albright et al.			
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Examiner Initials*	Cite No. 1	Foreign Patent Document  Country Code³-Number⁴-Kind Code³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>*</sup>		

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²				
JG	1	"Personal financial software", The CPA Journal, New York, September 1999, Vol.69, Iss.9; pg 40, 7 pgs, Proquest, describes numbers of software packages on the market for providing automated coaching for a financial modeling.					
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1/	Date Considered 08/16/2006

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known	
Application Number	09/927,560	
Filing Date	08-10-2001	
First Named Inventor	RONALD SLOAN	
Art Unit	<del>-3624 -</del>	
Examiner Name	JAGDISH PATEL	
Attorney Docket Number	060021-375502	

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Examiner Initials*	Cite No.	Document Number  Number - Kind Code <sup>2</sup> (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
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	STATEMENT BY APPLICANT			Filing or 371(c) Date	08-10-2001		
•				First Named Inventor	Ronald E. Sloan		
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	7	Document Number		
Examiner Initials*	Citc No. 1	Number -Kind Code <sup>2</sup> (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JG		US-4,953,085	08-28-1990	Atkins, Charles A.
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Substitu	te for form	1449A/PTO			Complete if Known		
	INFOR	MATION D	ISCLOSURE	Application Number	09/927,560		
	STATE	MENT BY	APPLICANT	Filing or 371(c) Date	8/10/2001		
				First Named Inventor	Ronald E. Sloan et al.	•	
	(use as	many sheets	as necessary)	Art Unit	<del>-3624 -</del>		
				Examiner Name	J. Patel		
Sheet	1	of	1	Attorney Docket Number	060021-375502/US		

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. 1	Document Number  Number -Kind Code <sup>2</sup> (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
JG		US-6253192	08-2001	Corlett et al.	
JG		US-6567796	05-2003	Yost et al.	
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	T	Foreign Patent Document			Τ.
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